

# Logic Programs with Functions and Default Values

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**Abstract.** In this work we reconsider the replacement of predicate-like notation by functional terms, using a similar syntax to Functional Logic Programming, but under a completely different semantic perspective. Our starting point comes from the use of logic programs for Knowledge Representation and Nonmonotonic Reasoning, especially under three well-known semantics for default negation: Clark's completion, stable models and well-founded semantics. The motivation for introducing functions in this setting arises from the frequent occurrence of functional dependences in the representation of many domains. The use of functions allows us to avoid explicit axiomatization and provides a more compact representation by nesting functional terms. From a representational point of view, the most interesting introduced feature is the possibility of replacing default negation by the concept of default value of a function. In the paper, we explore this idea of functions with default values, providing adapted versions of the three mentioned semantics for the functional case, and equivalent translations into logic programs.

## 1 Introduction

One of the uses of Logic Programming (LP) that is probably attracting more research interest is its application for practical knowledge representation, and particularly, for solving problems related to the area of Nonmonotonic Reasoning (NMR). This application became possible thanks to the availability of several semantics for LP (like *Clark's completion* [1], *stable models* [3] or *well-founded semantics* [11]) that allowed ignoring the operational aspects of Prolog, focusing instead on the use of default negation as a declarative tool for NMR. As a consequence of this application, a considerable number of extensions of the LP paradigm have emerged to cope with different knowledge representation issues.

In this work we consider one more possible extension of the LP paradigm consisting in the use of functions instead of relation symbols, with a syntax much in the style of the field of *Functional Logic Programming* [5] (FLP) but under a more semantic perspective, stressing its use for default reasoning. In this way, for instance, rather than being concerned on the operational behavior of unification in FLP (usually related to the rewriting technique of *narrowing* [9]) we will omit

the use of functors (like the list constructors) from the very beginning, so that we handle a finite Herbrand base.

When representing many domains in NMR we face the typical situation where some relational symbol, for instance  $father(x, y)$  actually represents a function  $father(x) = y$ . In this case, the program must be extended with several rules for explicitly asserting the uniqueness of value  $y$  in  $father(x, y)$ . Functions avoid this explicit axiomatization and, thanks to the possibility of nesting functional terms, allow removing a considerable number of unnecessary variables. Apart from a more comfortable representation, the most important feature we consider in this paper is perhaps the generalization of default negation to the notion of *default value* of each function. This concept is described under the three above-mentioned semantics.

The paper is organized as follows. Section 2 contains a brief review of LP definitions, in order to make the paper self-contained. In the next section, we begin considering ground functional logic programs, where we handle 0-ary functions with default values and we describe the three adapted semantics, providing their translations into standard LP too. After that, we comment on some aspects about expressiveness, showing that functional logic programs generalize normal and extended logic programming. The next section briefly describes non-ground programs with nested functions. Finally, Section 6 outlines some connections to related work and contains the conclusions of the paper.

## 2 Review of Logic Programming

Given a finite set of atoms  $\mathcal{H}$  called the *Herbrand Base*, we define a *program literal* as an atom  $p \in \mathcal{H}$  or its default negation  $not\ p$  (being the latter also called *default literal*). By *normal logic program* (or just *program* for short) we mean a set of rules like:

$$H \leftarrow B_1, \dots, B_n$$

where  $H$  is an atom called the *head* of the rule, and the  $B_i$ 's are program literals. We will write  $B$  as an abbreviation of  $B_1, \dots, B_n$  and call it the *body* of the rule. We will also allow a special atom  $\perp \notin \mathcal{H}$  as rule head, standing for inconsistency and used for rejecting undesired models. When  $n = 0$  we say that the rule is a *fact* and directly write  $H$ , omitting the arrow. A program  $P$  is said to be *positive* iff it contains no default negation.

A *propositional interpretation*  $I$  is any subset of  $\mathcal{H}$ . We use symbol  $\models$  to represent classical propositional satisfaction, provided that  $\leftarrow$ , comma and *not* are understood as classical implication, conjunction and negation, respectively. Using this reading, the concept of (classical) *model* of a program is defined in the usual way. We also define the *direct consequences* operator  $T_P$  on interpretations, as follows:  $T_P(I) \stackrel{\text{def}}{=} \{H \mid (H \leftarrow B) \in P \text{ and } I \models B\}$ . A well-known result [10] establishes that any positive program  $P$  has a least model, we will denote as  $least(P)$ . Furthermore, for positive programs,  $T_P$  is monotonic and its least fixpoint (computable by iteration on  $\emptyset$ ) coincides with  $least(P)$ .

A *supported model*  $I$  of a program  $P$  is any fixpoint of  $T_P$ , that is, any  $I = T_P(I)$ . Supported models can also be computed as classical models of a propositional theory called *Clark's completion* [1] which can be easily obtained from  $P$  (we omit its description for brevity sake).

The *reduct* of a program  $P$  with respect to interpretation  $I$ , written  $P^I$  corresponds to: (1) removing from  $P$  all rules with a program literal *not*  $p$  such that  $p \in I$ ; and (2) removing all the default negated literals from the remaining rules. Therefore,  $P^I$  is a positive program and has a least model  $least(P^I)$ . We represent this model as  $\Gamma_P(I)$  or simply  $\Gamma(I)$  when there is no ambiguity. A *stable model*  $I$  of a program  $P$  is any fixpoint of  $\Gamma$ , that is:  $I = \Gamma(I)$ . Furthermore, operator  $\Gamma^2$  (i.e.,  $\Gamma$  applied twice) is monotonic and has a greatest and a least fixpoint,  $gfp(\Gamma^2)$  and  $lfp(\Gamma^2)$  respectively. The *well-founded model* (WFM) of a program  $P$  is a pair of interpretations  $(I, J)$  where  $I = lfp(\Gamma^2)$  and  $J = GFP(\Gamma^2)$ . As  $I \subseteq J$ , we can see the WFM as a three-valued interpretation where atoms in  $I$  are *true* (or *founded*), atoms in  $J - I$  *undefined*, and atoms not in  $J$  are *false* (or *unfounded*).

We will also consider *Extended Logic Programming* (ELP), that is, programs dealing with *explicit negation* ‘ $\neg$ ’. For simplicity sake, however, we understand ELP as a particular case of normal programs where the Herbrand Base contains an atom ‘ $\neg p$ ’ per each atom  $p$  without explicit negation. Given an atom  $A \in \mathcal{H}$ , we write  $\bar{A}$  to denote its complementary atom, that is  $\bar{p} = \neg p$  and  $\overline{\neg p} = p$ . Under this setting, an interpretation  $I$  is said to be *consistent* iff it contains no pair of atoms  $p$  and  $\neg p$ . Consistent stable models for ELP receive the name of *answer sets*.

In the case of WFS for ELP, some counterintuitive results have led to the need for a variation called WFSX (*WFS with explicit negation*) [8]. This semantics guarantees the so-called *coherence principle*: if an atom  $A \in \mathcal{H}$  is founded in the WFM, its complementary atom  $\bar{A}$  must be unfounded. In other words, explicit negation  $\neg p$  must imply default negation *not*  $p$ . The definition of WFSX relies on the idea of seminormal programs. For any ELP rule  $r = (H \leftarrow B)$ , its *seminormal* version  $r_s$  is defined as  $(H \leftarrow B, not \bar{H})$ . Similarly, given program  $P$ , its seminormal version  $P_s$  consists of a rule  $r_s$  per each rule  $r$  in  $P$ . We write  $\Gamma_s(I)$  to stand for  $least(P_s^I)$ , and say that  $\Gamma_s$  is not defined for an inconsistent  $I$ . When defined, operator  $\Gamma\Gamma_s$  is monotonic. The *WFM* of a program  $P$  (under WFSX) is a pair of interpretations  $(I, J)$  such that  $I = lfp(\Gamma\Gamma_s)$  and  $J = \Gamma_s(I)$ , provided that  $lfp(\Gamma\Gamma_s)$  is defined (otherwise, the program is said to be *inconsistent*). It has been shown [8] that the WFM under WFSX satisfies the coherence principle.

### 3 Functional Logic Programs

For describing the syntax of Functional Logic Programs, we begin considering a finite set of ground terms  $\mathcal{F}$ , that we can consider as 0-ary *function names*, together with a finite set of *constant values*  $\mathcal{V}$ . We will use letters  $f, g, \dots$  to stand for elements of  $\mathcal{F}$  and  $v, w, \dots$  for constant values. The *definition* of each

function  $f \in \mathcal{F}$  is a sentence like:

$$f : R \quad [= d]$$

where  $R \subseteq \mathcal{V}$  is called the *range* of  $f$ , and the declaration ‘ $= d$ ’ is optional, representing a *default value*  $d \in R$ . We will use the notation,  $range(f) = R$  and, when defined,  $default(f) = d$ . As usual, *range boolean* stands for the set  $\{\mathbf{true}, \mathbf{false}\}$ . A *functional literal* (*F-literal* for short) is any expression like  $f=v$ , satisfying  $v \in range(f)$ . For simplicity sake, when  $range(f) = \mathbf{boolean}$  we may omit the ‘ $= v$ ’ and use a standard logical literal instead, so that:

$$f \stackrel{\text{def}}{=} f = \mathbf{true} \qquad \neg f \stackrel{\text{def}}{=} f = \mathbf{false}$$

A *functional logic program* (*F-program* for short) is a finite set of rules like:

$$H \leftarrow B_1, \dots, B_n$$

where  $H$  and all the  $B_i$ 's are now F-literals. Again,  $H$  is called the *head* and can also be the special symbol  $\perp$  that denotes inconsistency, whereas  $B_1, \dots, B_n$  are the *body*, which will be abbreviated as  $B$ . When convenient,  $B$  can also be seen as a set of F-literals. In order to describe the correspondence with normal logic programs, we will always bear in mind the translation of each F-literal  $L$  with shape  $f=v$  into a ground atom  $L'$  of shape  $holds(f, v)$ . We generalize the use of the prime operator for any construction (expressions, rules, sets, etc) having the expected meaning: it replaces each occurring F-literal  $L$  by atom  $L'$ . A first important observation in this sense is that given the F-program  $P$ , the corresponding normal program  $P'$  is *positive* (that is, it contains no default negation).

### 3.1 Semantics: stable and supported models

An *F-interpretation*  $I$  is defined as a (possibly partial) function  $I : \mathcal{F} \rightarrow \mathcal{V}$  where  $I(f)$  can be undefined only if  $f$  has no default value and, otherwise,  $I(f) \in range(f)$ . We alternatively represent an F-interpretation as a consistent set of F-literals, where by *consistent* we mean containing no pair of literals  $f=v$  and  $f=w$  with  $v \neq w$ , or the symbol  $\perp$ . A useful definition is the idea of *default portion* of an F-interpretation  $I$ :

$$default(I) \stackrel{\text{def}}{=} \{(f=d) \in I \mid d = default(f)\}$$

that is, the F-literals in  $I$  that correspond to assignments of default values.

An F-interpretation  $I$  *satisfies* a rule  $H \leftarrow B$  iff  $H \in I$  whenever  $B \subseteq I$ . An *F-model* of an F-program  $P$  is any F-interpretation  $I$  satisfying all the rules of  $P$ . An F-program  $P$  is said to be *consistent* iff it has some model.

As we did with  $T_P$  for normal logic programs, we can easily define an analogous *direct consequences* operator,  $t_P(I)$ , for F-programs as follows:

$$t_P(I) = \{H \mid (H \leftarrow B) \in P \text{ and } B \subseteq I\}$$

Note that  $t_P(I)$  is just a set of F-literals which could be inconsistent or partial, even for functions with default values. In this way, we actually have the straightforward correspondence:  $T_{P'}(I') = (t_P(I))'$ . Therefore,  $T_P$  properties are also applicable for  $t_P$ :

**Proposition 1.** *Any (consistent) F-program  $P$  has a least F-model, written  $F\text{-least}(P)$ .*

In the same way, for any program  $P$ , operator  $t_P$  is monotonic and has a least fixpoint which can be computed by iteration on the least set of F-literals  $\emptyset$ . Again, by adapting  $T_P$  results, we get:

**Proposition 2.** *If F-program  $P$  is consistent, its least F-model corresponds to the least fixpoint of  $t_P$ .*

Now, we can extend the idea of stable and supported models for F-programs.

**Definition 1 (Functional supported model).** *A functional supported model of an F-program  $P$  is any F-interpretation  $I$  satisfying:  $I = t_P(I) \cup \text{default}(I)$ .*

**Definition 2 (Functional stable model).** *A functional stable model of a program  $P$  is any F-interpretation  $I$  satisfying  $I = \gamma(I)$ , where:*

$$\gamma(I) \stackrel{\text{def}}{=} F\text{-least}(P \cup \text{default}(I))$$

### 3.2 Translation into normal logic programs

When we interpret the previous definitions for stable and supported models of F-programs, it is interesting to note that, in both cases, we deal with a positive program that is “completed” somehow with the default information in  $I$ . We will see that this effect can be captured inside normal logic programs by the addition of the axiom rule schemata:

$$\perp \leftarrow \text{holds}(f, v), \text{holds}(f, w) \quad (1)$$

$$\text{holds}(f, d) \leftarrow \text{not holds}(f, v_1), \dots, \text{not holds}(f, v_n) \quad (2)$$

for all function  $f$ , values  $v, w \in \text{range}(f)$  with  $v \neq w$ , and  $d = \text{default}(f)$ ,  $\{v_1, \dots, v_n\} = \text{range}(f) - \{d\}$ . Axiom (1) simply gets rid of models where a function takes two different values. Axiom (2) allows assuming the default value  $d$  for any function  $f$ , whenever the function does not take any of the rest of possible values. Any propositional interpretation  $I'$  that classically satisfies (1) and (2) can be seen as an F-interpretation  $I$ , since it will not contain an inconsistent pair of literals (due to (1)) and will not be partial for functions with default value (due to (2)). This is important because, since any stable (or supported) model is also a classical model of  $P'$ , axioms (1) and (2) will guarantee that it has an associated F-interpretation.

**Theorem 1.** *An F-interpretation  $I$  is a functional supported model of  $P$  iff  $I'$  is a supported model of  $P^* = P' \cup (1) \cup (2)$ .*

*Proof.* First, note that  $T_{P^*}(I')$  contains  $T_{P'}(I')$ , which corresponds to the translation of  $t_P(I)$ , as we had seen. The remaining atoms in  $T_{P^*}(I')$  come from those heads of axioms (1) and (2) for the cases in which their body is true in  $I'$ . Clearly, by consistence of  $I$  as an F-interpretation, the body of (1) cannot be true in  $I'$ . As for (2), we must collect the set of  $holds(f, d)$  for which no other value for  $f$  is included in  $I'$ . As  $I'$  cannot be partial for  $f$ , this is equivalent to collect all the  $holds(f, d)$  such that  $holds(f, d) \in I'$ . But this is exactly the translation into atoms of the set  $default(I)$ .  $\square$

The proof suggests that, for the case of supported models, we can replace axiom (2) by the simpler expression:

$$holds(f, d) \leftarrow holds(f, d) \tag{3}$$

For the case of stable models, we first prove that there exists a one-to-one correspondence between operators  $\gamma$  for F-program  $P$  and  $\Gamma$  for  $P^*$ .

**Theorem 2.** *Let  $I, J$  be a pair of sets of F-literals and  $P$  an F-program. Then  $J = \gamma(I)$  for  $P$  iff  $J' = \Gamma(I')$  for  $P^*$ .*

*Proof.* As a proof sketch, we outline a quite obvious correspondence between the reduct  $(P^*)^{I'}$  and the F-program  $P \cup default(I)$ . Consider rule (2) for each function  $f$  with  $default(f) = d$ . If  $holds(f, d) \notin I'$ , since  $I'$  is not partial for  $f$ , there must exist some  $holds(f, v_i) \in I'$  with  $v_i \neq d$ , and so, the whole rule (2) will be deleted when computing the reduct. On the other hand, if  $holds(f, d) \in I'$ , since  $I'$  is consistent, no other different  $holds(f, v_i)$  belongs to  $I$ , and so we can delete all the default literals in (2), what simply amounts to the fact  $holds(f, d)$  in the reduct. As a result, the reduct  $(P^*)^{I'}$  is exactly the same program than  $(P \cup default(I))' \cup (1)$ . Finally, note that computing the least model of  $(P^*)^{I'}$  is completely analogous to computing the least functional model of  $P \cup default(I)$  (for instance, using the direct consequences operator in both cases), where axiom (1) just rules out inconsistent results in the logic program.  $\square$

**Corollary 1.** *An F-interpretation  $I$  is a functional stable model of  $P$  iff  $I'$  is a stable model of  $P^*$ .*

### 3.3 Well-founded semantics

The third type of semantics we will consider is the generalization of WFS for the case of F-programs. As we saw in Section 2, the main difference of WFS with respect to the two previous semantics is that, instead of considering multiple models for a program, we get a single model which may leave some atoms undefined. When we move to the functional case, the well-founded model would now have the shape of a pair of sets of F-literals  $(I, J)$ ,  $I \subseteq J$ . This means, in principle, that each F-literal  $f = v$  could be founded, unfounded or undefined regardless the rest of values for function  $f$ . However, it is clear that, as happened with WFS for ELP, we must impose the restriction of consistency<sup>1</sup> for the set of founded literals  $I$ .

<sup>1</sup> Note that, on the other hand, the possibility of an “inconsistent” set of non-founded literals  $J$  must be allowed, since we could simultaneously have different undefined values for a same function.

Since ELP can be seen as a particular case of F-programs (where all ranges are fixed to *boolean*), it is easy to find similar examples of possible counterintuitive behavior due to the non-satisfaction of the coherence principle. For instance, assume we try to define WFS for any F-program  $P$  by correspondence with the standard WFS for  $P^*$ .

*Example 1.* Let  $P_1$  be the F-program  $\{(a \leftarrow \neg a), (b \leftarrow a), (c \leftarrow b), \neg b\}$  where  $a, b, c : \text{boolean} = \mathbf{false}$ .

The WFM of  $P_1^*$  leaves both values of  $a$  undefined due to cycle ( $a \leftarrow \text{not } a$ ) and, as a consequence, this undefinedness is propagated to literals  $b = \mathbf{true}$  and  $c = \mathbf{true}$  through rules ( $b \leftarrow a$ ) and ( $c \leftarrow b$ ). This result, however, seems counterintuitive in the presence of fact  $\neg b$  which makes  $b = \mathbf{false}$  founded. As a result, we should expect that condition of rule ( $c \leftarrow b$ ) became *unfounded*, leaving  $c$  false by default.

The generalization of Alferes and Pereira's coherence principle for the case of arbitrary function ranges would be:

**Definition 3 (Coherence).** A pair  $(I, J)$  of sets of literals with  $I \subseteq J$  and  $I$  consistent is said to be coherent iff for each  $(f=v) \in I$ , we have that  $(f=w) \notin J$  for all  $w \in \text{range}(f) - \{v\}$ .

In other words, a coherent pair  $(I, J)$  satisfies that, if a function value is founded, then the rest of values for that function are unfounded. As shown with Example 1, using the WFM of  $P^*$  as a guide for defining a functional WFS is not adequate for dealing with coherence. Instead, we could think about using a translation of  $P$  into ELP interpreted under WFSX.

**Definition 4.** Given F-program  $P$  we define the extended logic program  $P^e$  as the set of rules in  $P'$  together with the axiom rule schemata:

$$\neg \text{holds}(f, v) \leftarrow \text{holds}(f, w) \quad (4)$$

$$\text{holds}(f, d) \leftarrow \text{not } \neg \text{holds}(f, d) \quad (5)$$

where  $v, w \in \text{range}(f)$  with  $v \neq w$ , and  $d = \text{default}(f)$ .

That is,  $P^e$  corresponds to  $P^*$  where axioms (1) and (2) are now replaced by (4) and (5). It is not difficult to see that these two new axioms are an alternative way of representing (2), provided that (1) is not needed when we deal with explicit negation. An important remark at this point is that program  $P^e$  actually handles an extended Herbrand Base  $\mathcal{H}$ , containing atoms of shape  $\text{holds}(f, v)$  or  $\neg \text{holds}(f, v)$ . Therefore, when translating an F-interpretation  $I$  into a propositional interpretation, we must also describe the truth values for atoms like  $\neg \text{holds}(f, v)$ . Although this information is not explicitly included in  $I'$ , axiom (4) allows us to consider it as implicit in the following way. For all function  $f$  and value  $v$ :  $\neg \text{holds}(f, v) \in I'$  iff exists some  $\text{holds}(f, w) \in I'$  with  $w \neq v$ .

Bearing in mind this new translation, we proceed now to define the adapted WFS for the functional case. For any F-program  $P$  and any consistent set of

literals  $I$ , the program  $P_s(I)$  (the  $s$  stands for “seminormal,” by analogy with WFSX) is defined as follows:

$$P_s(I) \stackrel{\text{def}}{=} \{(f=v \leftarrow B) \in P \mid \text{such that no } f=w \in I, \text{ with } v \neq w\}$$

that is, we get those rules of  $P$  where the head literal is not contradictory with respect to another literal in  $I$ . We write  $\gamma_s$  to stand for  $\gamma$  with respect to program  $P_s(I)$ , that is:  $\gamma_s(I) \stackrel{\text{def}}{=} \text{least}(P_s(I) \cup \text{default}(I))$ . As the definition of  $P_s(I)$  requires  $I$  to be consistent,  $\gamma_s$  is not defined for an inconsistent  $I$ . The following result relating operators  $\gamma_s$  and  $\Gamma_s$  will allow us to inherit properties from WFSX for the case of F-programs:

**Theorem 3.** *Let  $I, J$  be a pair of sets of F-literals (with  $I$  consistent) and  $P$  an F-program. Then  $J = \gamma_s(I)$  for  $P$  iff  $J' = \Gamma_s(I')$  for  $P^e$ .*

*Proof.* The seminormal program  $P_s^e$  contains a rule  $r'_s$ :

$$\text{holds}(f, v) \leftarrow B', \text{ not } \neg \text{holds}(f, v) \quad (6)$$

per each rule  $r = (f=v \leftarrow B)$  in  $P$ , plus the seminormal version of rule schemata (4):

$$\neg \text{holds}(f, v) \leftarrow \text{holds}(f, w), \text{ not holds}(f, v) \quad (7)$$

and rule schemata (5) (which is already, in fact, a seminormal rule). Now note that the reduct  $(P_s^e)^{I'}$  will contain a rule  $\text{holds}(f, v) \leftarrow B'$  per each  $r'_s$  satisfying  $\neg \text{holds}(f, v) \notin I'$ . As we saw for explicitly negated atoms in  $I'$ , this means that there is no other  $w \neq v$  such that  $\text{holds}(f, w) \in I'$ . So, we take rules whose head is consistent in  $I'$ , what corresponds exactly to  $P_s(I)$  in the functional case.  $\square$

Consider now the composed operator  $\gamma\gamma_s$ . The last theorem, together with Theorem 2, allows us to import the next property from operator  $\Gamma\Gamma_s$ :

**Corollary 2.** *When defined, operator  $\gamma\gamma_s$  is monotonic.*

Thus, we can compute a least fixpoint of  $\gamma\gamma_s$ , written  $\text{lfp}(\gamma\gamma_s)$ , by iteration on the least consistent set of literals  $\emptyset$ , provided that this iteration keeps consistence in each step.

**Definition 5 (Functional Well-Founded Model).** *For any F-program  $P$ , if  $\text{lfp}(\gamma\gamma_s)$  is defined, then the well-founded model (WFM) of  $P$  is a pair of sets of F-literals  $(I, J)$  where:  $I \stackrel{\text{def}}{=} \text{lfp}(\gamma\gamma_s)$  and  $J \stackrel{\text{def}}{=} \gamma_s(I)$ . When  $\text{lfp}(\gamma\gamma_s)$  is not defined, we say that  $P$  is inconsistent.*

As this definition is completely analogous to the WFM under WFSX, Theorem 3 also allows us to derive the following results:

**Corollary 3.** *The pair  $(I, J)$  is the WFM of a program  $P$  iff  $(I', J')$  is the WFM of  $P^e$  under WFSX.*

**Corollary 4.** *The WFM  $(I, J)$  of a F-program  $P$  is coherent.*



## 4 Expressiveness of functional programs

The correspondence in the shape of F-programs with positive logic programs may incorrectly lead us to think that the expressive power of the current proposal is lower than full logic programming with default negation. In this section we show that this impression is wrong – the use of default values constitutes an alternative to default negation. To this aim, we show now how to make the converse translation, that is, from a normal logic program to an F-program. Assume we have a (ground) normal logic program  $P$  with Herbrand Base  $\mathcal{H}$ . We can define its corresponding F-program  $P_F$  by declaring each ground atom  $p \in \mathcal{H}$  as a 0-ary boolean function  $p : \text{boolean} = \mathbf{false}$ , (i.e., false by default) and replacing each default literal ( $\text{not } p$ ) in  $P$  by the F-literal  $\neg p$  (that is,  $p = \mathbf{false}$ ).

**Theorem 4.** *An interpretation  $I$  is a supported (resp. stable) model of a normal logic program  $P$  iff the F-interpretation  $I_F = \{p = \mathbf{true} \mid p \in I\} \cup \{p = \mathbf{false} \mid p \in \mathcal{H} - I\}$  is a functional supported (resp. stable) model of the corresponding F-program  $P_F$ .*

*Proof.* For supported models, first note that  $I \models B$  for any rule body  $B$  of  $P$  iff  $B_F \subseteq I_F$  for the corresponding body  $B_F$  in  $P_F$ . As a result

$$p \in T_P(I) \quad \text{iff} \quad (p = \mathbf{true}) \in t_{P_F}(I_F) \quad (8)$$

Now, for the left to right direction, assume that  $I_F$  is a functional supported model of  $P_F$ . Then, by (8) and construction of  $I_F$  it is clear that  $I$  is supported model of  $P$ . For the other direction, assume that  $I$  is a supported model of  $P$ . This means that  $p \in I$  iff  $p \in T_P(I)$  and thus, by (8) and construction of  $I_F$ ,  $(p = \mathbf{true}) \in I_F$  iff  $(p = \mathbf{true}) \in t_{P_F}(I_F)$ . On the other hand, if  $(p = \mathbf{false}) \in I_F$  then  $(p = \mathbf{false}) \in \text{default}(I_F)$ . As no  $\mathbf{false}$  value is in the head of  $P_F$ , we get that  $t_{P_F}(I_F) \cup \text{default}(I_F) = I_F$ .

For the case of stable models, we just provide a proof sketch. It is not difficult to see that, informally speaking, the addition of facts in  $\text{default}(I_F)$  to  $P_F$  yields the same effects than the program modulo  $P^I$ : in other words, F-programs  $P_F \cup \text{default}(I_F)$  and  $P_F^I$  are equivalent. As a result:  $p \in I(I)$  iff  $(p = \mathbf{true}) \in \gamma(I_F)$ . The rest of the proof is straightforward.  $\square$

Notice how, under this translation, explicit negation behaves as default negation when we have default value  $\mathbf{false}$ . In the case of extended logic programs, the translation is slightly more complicated, since we need handling simultaneously default and explicit negation for each symbol  $p$ . This can be accomplished by the inclusion of extra atoms for representing default negation. Given an extended logic program  $P$ , we define the corresponding F-program  $P_k$  as follows:

1. we declare all atoms  $p \in \mathcal{H}$  as  $p : \text{boolean}$  (i.e., *without* default value),
2. we add a new special function  $\text{know} : \mathcal{H} \times \text{boolean} \rightarrow \text{boolean} = \mathbf{false}$ ,
3. we add the rule schemata:  $(\text{know}(p, \mathbf{true}) \leftarrow p)$ ,  $(\text{know}(p, \mathbf{false}) \leftarrow \neg p)$ ,
4. and, finally, for any  $p \in \mathcal{H}$ , we make the following replacements for extended default literals:

$$\text{not } p \stackrel{\text{def}}{=} \neg \text{know}(p, \mathbf{true}) \qquad \text{not } \neg p \stackrel{\text{def}}{=} \neg \text{know}(p, \mathbf{false})$$

Function  $know(p, v)$  is used to assert that we know that atom  $p$  takes value  $v \in \{\mathbf{true}, \mathbf{false}\}$ . Note that  $know(p, v)$  is false by default, and so, the literal  $\neg know(p, v)$  will work as default negation. Given a propositional interpretation  $I$ , let  $I_k$  denote the set of F-literals:

$$\begin{aligned} & \{(know(p, \mathbf{true}) = \mathbf{true}) \mid p \in I\} \cup \{(know(p, \mathbf{false}) = \mathbf{true}) \mid \bar{p} \in I\} \\ & \cup \{(know(p, \mathbf{true}) = \mathbf{false}) \mid p \notin I\} \cup \{(know(p, \mathbf{false}) = \mathbf{false}) \mid \bar{p} \notin I\} \end{aligned}$$

*Conjecture 1.* A pair of interpretations  $(I, J)$ , with  $I \subseteq J$ , are the WFM of an extended logic program  $P$  under WFSX iff the pair of F-interpretations  $(I_k, J_k)$  are the WFM of the F-program  $P_k$ .  $\square$

## 5 Non-ground programs and nested functions

When we consider the use of variables, we will naturally require function arities greater than zero. Although, in principle, the same function name and arity could be used for an arbitrary set of ground functional terms, it will usually be more convenient to define a function domain, that specifies the types of all the possible arguments. The *definition* of a function is now a sentence like:

$$f : D_1 \times D_2 \times \cdots \times D_n \longrightarrow R \quad [= d]$$

where the new  $D_1 \times D_2 \times \cdots \times D_n$ , with  $n \geq 0$ , is called the *domain* of  $f$ , written  $domain(f)$ , and being each  $D_i$  a finite set of constant values. Under this extension, a (ground) literal would simply have the shape  $f(\hat{w}) = v$  where  $v \in range(f)$  and  $\hat{w}$  is a tuple of values  $\hat{w} \in domain(f)$ .

Consider the following program  $P_2$  with the function definitions:

$$\begin{aligned} & sex : person \longrightarrow \{\mathbf{male}, \mathbf{female}\} \\ & parent : person \times person \longrightarrow \mathbf{boolean} = \mathbf{false} \\ & offspring : person \times person \longrightarrow \mathbf{boolean} = \mathbf{false} \\ & father, mother, grandpa, grandma : person \longrightarrow person \\ & likes : person \times person \cup object \longrightarrow \mathbf{boolean} \\ & nationality : person \longrightarrow \{\mathbf{fr}, \mathbf{es}, \mathbf{pt}, \mathbf{at}, \mathbf{uk}, \dots\} = \mathbf{pt} \\ & birth : person \longrightarrow [1900, 2100] \\ & older : person \times person \longrightarrow \mathbf{boolean} \end{aligned}$$

for some finite ranges  $person$ ,  $object$ , and the set of rules (we omit the irrelevant facts database):

$$father(X) = Y \leftarrow parent(Y, X), sex(Y) = \mathbf{male} \quad (9)$$

$$mother(X) = Y \leftarrow parent(Y, X), sex(Y) = \mathbf{female} \quad (10)$$

$$offspring(X, Y) \leftarrow parent(X, Y) \quad (11)$$

$$offspring(X, Y) \leftarrow parent(X, Z), Z \neq Y, offspring(Z, Y) \quad (12)$$

$$grandpa(X, Y) \leftarrow parent(Z, Y), father(Z) = X \quad (13)$$

$$\begin{aligned}
& \text{likes}(X, Y) \leftarrow \text{mother}(X) = Y & (14) \\
& \neg \text{likes}(X, Y) \leftarrow \text{mother}(X) = M, \text{mother}(Y) = M, \\
& \quad \text{father}(X) = F, \text{father}(Y) = G, \\
& \quad \text{nationality}(A) = R, \text{nationality}(Y) = S, R \neq S & (15) \\
& \text{older}(X, Y) \leftarrow \text{birth}(X) = A, \text{birth}(Y) = B, A < B & (16) \\
& \text{older}(X, Y) \leftarrow \text{offspring}(X, Y) & (17) \\
& \perp \leftarrow \text{older}(X, Y), \text{older}(Y, X), X \neq Y & (18)
\end{aligned}$$

Notice how boolean function *parent* has been declared false by default in order to avoid specifying those pairs of persons for which one is not parent of the other (what actually constitute most of the possible combinations). On the other hand, *likes* is unknown by default, since in some cases we know it is true, in some cases we know it is false, but in most cases we just do not have any information. For instance, rule (14) says that any person likes his/her mother, whereas rule (15) says that *X* dislikes *Y* if they have the same mother, but their fathers are of different nationality. Using a default Portuguese nationality (*pt*) can be useful when dealing with inhabitants of Lisbon, for instance. Relation *older* is partial, since it may be the case that we ignore the birth date of some ancestors.

As for the rules shape, variables are understood as abbreviations of all possible values and, as it can be observed, we allow arbitrary expressions relating variables (with arithmetic and relational operators) so that they describe the final combinations that generate a ground instance.

For simplicity sake, until now we have restricted the study to 0-ary functions, what has just meant a slight change in the shape of program literals. However, one of the most interesting advantages of functional terms is the possibility of constructing nested expressions. Consider, for instance, rule (13). Clearly, variable *X* is exclusively used for representing the value of *father(Z)*. Thus, it seems natural to replace this auxiliary variable by the functional term *father(Z)*, writing instead:

$$\text{grandpa}(\text{father}(Z), Y) \leftarrow \text{parent}(Z, Y)$$

Similar steps could be applied to rules (14) and (16), respectively leading to:

$$\begin{aligned}
& \text{likes}(X, \text{mother}(X)) \\
& \text{older}(X, Y) \leftarrow \text{birth}(X) < \text{birth}(Y)
\end{aligned}$$

However, the most interesting example would be rule (15) where we can save many unnecessary variables:

$$\begin{aligned}
& \neg \text{likes}(X, Y) \leftarrow \text{mother}(X) = \text{mother}(Y), \\
& \quad \text{nationality}(\text{father}(X)) \neq \text{nationality}(\text{father}(Y)) & (19)
\end{aligned}$$

Allowing this nested use of functions does not introduce any special difficulty, since a nested rule can always be easily unfolded back into the non-nested version by a successive introduction auxiliary variables<sup>2</sup>. Instead, without entering into a more formal description, consider for instance the unfolding of a rule like (19). We can go replacing each inner subexpression by a fresh variable, generating the sequence of transformations:

$$\begin{aligned}
\neg \text{likes}(X, Y) &\leftarrow \text{mother}(X) = \text{mother}(Y), \\
&\quad \text{nationality}(\text{father}(X)) \neq \text{nationality}(\text{father}(Y)) \\
\neg \text{likes}(X, Y) &\leftarrow V_1 = V_2, \\
&\quad \text{nationality}(\text{father}(X)) \neq \text{nationality}(\text{father}(Y)), \\
&\quad \text{mother}(X) = V_1, \text{mother}(Y) = V_2 \\
\neg \text{likes}(X, Y) &\leftarrow V_1 = V_2, \\
&\quad \text{nationality}(V_3) \neq \text{nationality}(V_4) \\
&\quad \text{mother}(X) = V_1, \text{mother}(Y) = V_2, \\
&\quad \text{father}(X) = V_3, \text{father}(Y) = V_4 \\
\neg \text{likes}(X, Y) &\leftarrow V_1 = V_2, \\
&\quad V_5 \neq V_6, \\
&\quad \text{mother}(X) = V_1, \text{mother}(Y) = V_2, \\
&\quad \text{father}(X) = V_3, \text{father}(Y) = V_4 \\
&\quad \text{nationality}(V_3) = V_5, \text{nationality}(V_4) = V_6
\end{aligned}$$

that ends up with a rule equivalent to (15).

## 6 Conclusion and Related Work

We have presented an extension of logic programs with functional terms for their use in Knowledge Representation and Nonmonotonic Reasoning. This extension provides a common framework for default reasoning with functions, declaring the concept of default values of functions under three different semantics adapted from Clark's completion, stable models and WFS.

There exist many connections to related work that deserve to be formally studied in future work. The closer approach inside Nonmonotonic Reasoning is probably the formalism of *Causal Theories* [4] inspired by the causal logic in [6]. Our description of the supported models semantics for functional programs has a close relation to the idea of *causally explained models* previously introduced in that approach<sup>3</sup>. Furthermore, the use of *multi-valued* symbols does not suppose

<sup>2</sup> Another alternative would also be to describe the semantics taking into account these nested expressions from the very beginning, but we have preferred a more incremental presentation in this paper.

<sup>3</sup> As pointed out by a referee, causally explained models are more restrictive in the sense that they are always *total*, that is, for any atom  $p$ , either literal  $p$  or literal  $\neg p$  belongs to the model.

a real novelty in Causal Theories and, in fact, the definition of default values is something usually done by the addition of expressions like rule (3). The only part of our proposal (for supported models) that would mean a real contribution in this sense is the possibility of nesting functional terms as described in Section 5, which is directly applicable to Causal Theories too.

As for the relation to Functional LP, much work remain to be done yet. For instance, the use of default rules for FLP has already been studied in [7], although mostly analyzed from an operational perspective with respect to narrowing. It would be very interesting to establish a formal relationship between that work and some or all the semantics we propose in this paper (perhaps, due to the kind of programming paradigm, especially with WFS).

Other topics for future work include the extension of this framework for its use for Reasoning about Actions and Change. We expect that the definition of functions will allow efficiency improvements by restricting the grounding process, as happens for instance, with the functional extension [2] of the classical planning language STRIPS.

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