Chapter 11: File System Implementation







Chapter 11: File System Implementation

- File-System Structure
- File-System Implementation
- Directory Implementation
- Allocation Methods
- Free-Space Management
- Efficiency and Performance
- Recovery
- Log-Structured File Systems
- NFS
- Example: WAFL File System







- To describe the details of implementing local file systems and directory structures
- To describe the implementation of remote file systems
- To discuss block allocation and free-block algorithms and trade-offs





File-System Structure

- File structure
 - Logical storage unit
 - Collection of related information
- File system resides on secondary storage (disks)
- File system organized into layers
- File control block storage structure consisting of information about a file











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A Typical File Control Block

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks





In-Memory File System Structures

- The following figure illustrates the necessary file system structures provided by the operating systems.
- Figure 12-3(a) refers to opening a file.
- Figure 12-3(b) refers to reading a file.





In-Memory File System Structures



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Virtual File Systems

- Virtual File Systems (VFS) provide an object-oriented way of implementing file systems.
- VFS allows the same system call interface (the API) to be used for different types of file systems.
- The API is to the VFS interface, rather than any specific type of file system.





Schematic View of Virtual File System



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Directory Implementation

Linear list of file names with pointer to the data blocks.

- simple to program
- time-consuming to execute
- **Hash Table** linear list with hash data structure.
 - decreases directory search time
 - collisions situations where two file names hash to the same location
 - fixed size





Allocation Methods

- An allocation method refers to how disk blocks are allocated for files:
- Contiguous allocation
- Linked allocation
- Indexed allocation





Contiguous Allocation

- Each file occupies a set of contiguous blocks on the disk
- Simple only starting location (block #) and length (number of blocks) are required

Random access

- Wasteful of space (dynamic storage-allocation problem)
- Files cannot grow







Mapping from logical to physical



Block to be accessed = ! + starting address Displacement into block = R



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Contiguous Allocation of Disk Space





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Extent-Based Systems

- Many newer file systems (I.e. Veritas File System) use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in extents
- An **extent** is a contiguous block of disks
 - Extents are allocated for file allocation
 - A file consists of one or more extents.





Linked Allocation

Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.







Linked Allocation (Cont.)

- Simple need only starting address
- Free-space management system no waste of space
- No random access
- Mapping



Block to be accessed is the Qth block in the linked chain of blocks representing the file. Displacement into block = R + 1 File-allocation table (FAT) – disk-space allocation used by MS-DOS and OS/2.





Linked Allocation



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File-Allocation Table





Indexed Allocation

- Brings all pointers together into the *index block*.
- Logical view.



index table





Example of Indexed Allocation



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Indexed Allocation (Cont.)

- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block.
- Mapping from logical to physical in a file of maximum size of 256K words and block size of 512 words. We need only 1 block for index table.



Q = displacement into index table R = displacement into block





Indexed Allocation – Mapping (Cont.)

- Mapping from logical to physical in a file of unbounded length (block size of 512 words).
- Linked scheme Link blocks of index table (no limit on size).



 Q_2 = displacement into block of index table R_2 displacement into block of file:





Indexed Allocation – Mapping (Cont.)

Two-level index (maximum file size is 512³)





 Q_2 = displacement into block of index table R_2 displacement into block of file:





Indexed Allocation – Mapping (Cont.)





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Combined Scheme: UNIX (4K bytes per block)





Free-Space Management

Bit vector (*n* blocks)



bit[*i*] =
$$\begin{cases} 0 \Rightarrow block[i] free \\ 1 \Rightarrow block[i] occupied \end{cases}$$

Block number calculation

(number of bits per word) * (number of 0-value words) + offset of first 1 bit



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Free-Space Management (Cont.)

- Bit map requires extra space
 - Example:

block size = 2^{12} bytes disk size = 2^{30} bytes (1 gigabyte) $n = 2^{30}/2^{12} = 2^{18}$ bits (or 32K bytes)

- Easy to get contiguous files
- Linked list (free list)
 - Cannot get contiguous space easily
 - No waste of space
- Grouping
- Counting





Free-Space Management (Cont.)

- Need to protect:
 - Pointer to free list
 - Bit map
 - Must be kept on disk
 - Copy in memory and disk may differ
 - Cannot allow for block[*i*] to have a situation where bit[*i*] = 1 in memory and bit[*i*] = 0 on disk
 - Solution:
 - Set bit[*i*] = 1 in disk
 - Allocate block[i]
 - Set bit[i] = 1 in memory





Directory Implementation

- Linear list of file names with pointer to the data blocks
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Linked Free Space List on Disk





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Efficiency and Performance

- Efficiency dependent on:
 - disk allocation and directory algorithms
 - types of data kept in file's directory entry
- Performance
 - disk cache separate section of main memory for frequently used blocks
 - free-behind and read-ahead techniques to optimize sequential access
 - improve PC performance by dedicating section of memory as virtual disk, or RAM disk







- A page cache caches pages rather than disk blocks using virtual memory techniques
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache
- This leads to the following figure





I/O Without a Unified Buffer Cache



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Unified Buffer Cache

A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O




I/O Using a Unified Buffer Cache









- Consistency checking compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
- Use system programs to back up data from disk to another storage device (floppy disk, magnetic tape, other magnetic disk, optical)
- Recover lost file or disk by restoring data from backup





Log Structured File Systems

- Log structured (or journaling) file systems record each update to the file system as a transaction
- All transactions are written to a log
 - A transaction is considered committed once it is written to the log
 - However, the file system may not yet be updated
- The transactions in the log are asynchronously written to the file system
 - When the file system is modified, the transaction is removed from the log
- If the file system crashes, all remaining transactions in the log must still be performed





The Sun Network File System (NFS)

- An implementation and a specification of a software system for accessing remote files across LANs (or WANs)
- The implementation is part of the Solaris and SunOS operating systems running on Sun workstations using an unreliable datagram protocol (UDP/IP protocol and Ethernet





NFS (Cont.)

Interconnected workstations viewed as a set of independent machines with independent file systems, which allows sharing among these file systems in a transparent manner

- A remote directory is mounted over a local file system directory
 - The mounted directory looks like an integral subtree of the local file system, replacing the subtree descending from the local directory
- Specification of the remote directory for the mount operation is nontransparent; the host name of the remote directory has to be provided
 - Files in the remote directory can then be accessed in a transparent manner
- Subject to access-rights accreditation, potentially any file system (or directory within a file system), can be mounted remotely on top of any local directory







- NFS is designed to operate in a heterogeneous environment of different machines, operating systems, and network architectures; the NFS specifications independent of these media
- This independence is achieved through the use of RPC primitives built on top of an External Data Representation (XDR) protocol used between two implementation-independent interfaces
- The NFS specification distinguishes between the services provided by a mount mechanism and the actual remote-file-access services











Mounting in NFS



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NFS Mount Protocol

- Establishes initial logical connection between server and client
- Mount operation includes name of remote directory to be mounted and name of server machine storing it
 - Mount request is mapped to corresponding RPC and forwarded to mount server running on server machine
 - Export list specifies local file systems that server exports for mounting, along with names of machines that are permitted to mount them
- Following a mount request that conforms to its export list, the server returns a file handle—a key for further accesses
- File handle a file-system identifier, and an inode number to identify the mounted directory within the exported file system
- The mount operation changes only the user's view and does not affect the server side





NFS Protocol

Provides a set of remote procedure calls for remote file operations. The procedures support the following operations:

- searching for a file within a directory
- reading a set of directory entries
- manipulating links and directories
- accessing file attributes
- reading and writing files
- NFS servers are stateless; each request has to provide a full set of arguments

(NFS V4 is just coming available – very different, stateful)

- Modified data must be committed to the server's disk before results are returned to the client (lose advantages of caching)
- The NFS protocol does not provide concurrency-control mechanisms





Three Major Layers of NFS Architecture

- UNIX file-system interface (based on the open, read, write, and close calls, and file descriptors)
- Virtual File System (VFS) layer distinguishes local files from remote ones, and local files are further distinguished according to their file-system types
 - The VFS activates file-system-specific operations to handle local requests according to their file-system types
 - Calls the NFS protocol procedures for remote requests
- NFS service layer bottom layer of the architecture
 - Implements the NFS protocol





Schematic View of NFS Architecture



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NFS Path-Name Translation

- Performed by breaking the path into component names and performing a separate NFS lookup call for every pair of component name and directory vnode
- To make lookup faster, a directory name lookup cache on the client's side holds the vnodes for remote directory names





NFS Remote Operations

- Nearly one-to-one correspondence between regular UNIX system calls and the NFS protocol RPCs (except opening and closing files)
- NFS adheres to the remote-service paradigm, but employs buffering and caching techniques for the sake of performance
- File-blocks cache when a file is opened, the kernel checks with the remote server whether to fetch or revalidate the cached attributes
 - Cached file blocks are used only if the corresponding cached attributes are up to date
- File-attribute cache the attribute cache is updated whenever new attributes arrive from the server
- Clients do not free delayed-write blocks until the server confirms that the data have been written to disk





Example: WAFL File System

- Used on Network Appliance "Filers" distributed file system appliances
- "Write-anywhere file layout"
- Serves up NFS, CIFS, http, ftp
- Random I/O optimized, write optimized
 - NVRAM for write caching
- Similar to Berkeley Fast File System, with extensive modifications





The WAFL File Layout







Snapshots in WAFL





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file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks





